



Office of
Deputy Commissioner
of Maritime Affairs

THE REPUBLIC OF LIBERIA
LIBERIA MARITIME AUTHORITY

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November 12, 2015

Ms. Margaret C. Ansumana
Alternate Permanent Representative
Permanent Mission of the Republic of Liberia to the IMO
107 Fenchurch Street, 3rd Floor
London EC3M 5JF
United Kingdom

Re: Republic of Liberia; Flag State Self-Assessment - For Period **2009 - 2013**

Dear Ms. Ansumana:

Please find the enclosed Flag State Self-Assessment Form completed to reflect the Liberian Registry's analysis of its records with regard to implementation of and adherence to flag state responsibilities of the relevant Conventions to which the Republic of Liberia is a party. The criteria for completion of the form are based on Resolution A.912 (22). While submission of the form to the International Maritime Organization (IMO) is entirely voluntary at the present time, submission of the form should reflect very favorably on Liberia. It clearly demonstrates Liberia's commitment to the proper performance of its Flag State responsibilities with regard to safety, security and reduction of oil pollution, particularly in regard to those Flag States that neglect this responsibility.

As you know, the data included in the report has been reported to the IMO as required by the various Conventions in the usual course of our performance of Flag responsibilities, however contents of the report will not be publicized by the IMO unless permitted by Liberia.

I recommend that the report be submitted to the Secretary General at the IMO.

Sincerely,

A handwritten signature in blue ink, appearing to read 'David Pascoe', is written over a blue circular stamp.

Captain David Pascoe
Senior Vice President
Maritime Operations & Standards
For the Deputy Commissioner
Republic of Liberia

Enclosure: Flag State Self-Assessment Form I:\ASSEMBLY\22\RES\912.DOC

HEADQUARTERS

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November 12, 2015

The Honorable Binyah Kesselly
Commissioner / CEO
Liberian Maritime Authority
Tubman Boulevard, Sinkor
P.O. Box 10-9042
1000 Monrovia 10, Republic of Liberia

Re: Republic of Liberia; Flag State Self-Assessment - For Period 2009 - 2013

Dear Mr. Commissioner:

Please find the enclosed Flag State Self-Assessment Form completed to reflect the Liberian Registry's analysis of its records with regard to implementation of and adherence to Flag State responsibilities of the relevant Conventions to which Liberia is a party. The criteria for completion of the form are based on Resolution A.912 (22). While submission of the form to the International Maritime Organization (IMO) is entirely voluntary at the present time, submission of the form should reflect very favorably on Liberia. We have provided an original copy to the Liberian Permanent Mission to the IMO with a recommendation that the report be submitted to the Secretary General of the IMO.

It clearly demonstrates Liberia's commitment to the proper performance of its Flag State responsibilities with regard to safety, security and reduction of oil pollution, particularly in regard to those Flag States that neglect this responsibility. The data included in the report has been reported to the IMO as required by the various Conventions in the usual course of our performance of Flag State responsibilities, however contents of the report will not be publicized by the IMO unless permitted by Liberia.

Sincerely,



Scott R. Bergeron
Chief Executive Officer

Enclosure: Flag State Self-Assessment Form I:\ASSEMBLY\22\RES\912.DOC

Appendix

FLAG STATE PERFORMANCE SELF-ASSESSMENT FORM

(Five Year Period: 2009 – 2013)*All questions relate to merchant ships flying the flag of the State concerned.*

GENERAL	
<p>1. Name of State/Associate Member</p> <p><i>List the Administrations which you represent at IMO (a separate assessment form should be completed for each. Include all flag States, including those which are not Member States of IMO but are parties to IMO instruments).</i></p>	<p>The Republic of Liberia</p>
<p>2. Name of contact person responsible for the completion of this form</p> <p>Name of Administration</p> <p>Address</p> <p>Telephone Number</p> <p>Fax Number</p> <p>E-mail address</p>	<p>Captain David Pascoe</p> <p>Liberia Maritime Authority Office of the Deputy Commissioner c/o Liberian International Ship & Corporate Registry</p> <p>8619 Westwood Center Drive, Suite 300 Vienna, Virginia 22182, USA</p> <p>(703) 790-3434</p> <p>(703) 790-5655</p> <p>marops@liscr.com</p>

<p>3. Indicate to which of the following international instruments your State is a Party and which (optional) MARPOL 73/78 Annexes have been ratified.</p> <p style="text-align: center;"> <i>SOLAS 74</i> <i>SOLAS Protocol 78</i> <i>MARPOL 73/78</i> <i>Annex III</i> <i>Annex IV</i> <i>Annex V</i> <i>Annex VI</i> <i>LL 66</i> <i>TONNAGE 69</i> <i>COLREG 72</i> <i>UNCLOS</i> </p>	<p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p>
<p>4.1 How many merchant ships of 100 gross tonnage and upwards, subject to the relevant instruments you indicated in question 3, are currently flying the flag of your State?</p> <p>4.2 What is the total gross tonnage of merchant ships flying the flag of your State?</p>	<p>3,906</p> <p>132,474,472 GRT</p>
INTERNAL CRITERIA	
Legal framework	
<p>5. Does your Administration have the necessary laws in force to implement international maritime safety and pollution prevention instruments with regard to:</p> <p>.1 the construction, equipment and management of ships;</p> <p>.2 the prevention, reduction and control of pollution of the marine environment:</p> <p>.3 the safe loading of ships:</p> <p>.4 the manning of ships;</p> <p>.5 the safety of navigation (including taking part in mandatory reporting and routing systems), maintenance of communications and prevention of collisions?</p>	<p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p>
<p>6. Does your Administration have the necessary laws in force to ensure the provision of penalties of adequate severity to discourage violation of international instruments to which your State is a Party?</p>	<p>Yes</p>

7. Does your Administration have the necessary laws in force to provide for ship inspections to ensure compliance with international maritime safety and pollution prevention standards, to which your state is a Party?	Yes
8. Does your Administration have the necessary laws in force to take legal action against ships which have been identified as not being in compliance with the international instruments to which your State is a Party?	Yes
9. Does your Administration have the necessary laws in force to carry out the required casualty investigations?	Yes

Enforcement

<p>10. Does your Administration have an infrastructure, including personnel with appropriate technical expertise and experience, to:</p> <ul style="list-style-type: none"> .1 identify ships flying the flag of your State which are not in compliance with international maritime safety and pollution prevention requirements? .2 take action against ships flying the flag of your State which have been identified as not being in compliance with international maritime safety and pollution prevention requirements? <p>If yes, against how many such ships was action taken for each of the previous 5 years*?</p> <p>TABLE 1:</p> <table border="1" data-bbox="313 1224 1149 1360"> <thead> <tr> <th></th> <th>2009</th> <th>2010</th> <th>2011</th> <th>2012</th> <th>2013</th> </tr> </thead> <tbody> <tr> <td>Safety</td> <td>160</td> <td>161</td> <td>189</td> <td>177</td> <td>157</td> </tr> <tr> <td>Pollution</td> <td>2</td> <td>0</td> <td>6</td> <td>13</td> <td>9</td> </tr> </tbody> </table>		2009	2010	2011	2012	2013	Safety	160	161	189	177	157	Pollution	2	0	6	13	9	<p>Yes</p> <p>Yes Follow-up Inspection Program and additional statutory surveys are required when considered necessary.</p> <p>(See Table 1)</p>
	2009	2010	2011	2012	2013														
Safety	160	161	189	177	157														
Pollution	2	0	6	13	9														

<p>11. Did your Administration investigate detentions by port States of ships flying the flag of your State for each of the previous 5 years*? (See also question 28)</p> <ul style="list-style-type: none"> • If yes, indicate how many such detentions were investigated. <p>TABLE 2:</p> <table border="1" data-bbox="269 1619 1245 1797"> <thead> <tr> <th></th> <th>2009</th> <th>2010</th> <th>2011</th> <th>2012</th> <th>2013</th> </tr> </thead> <tbody> <tr> <td></td> <td>128</td> <td>145**</td> <td>154</td> <td>157</td> <td>164</td> </tr> <tr> <td>Appealed</td> <td>2</td> <td>1</td> <td>10</td> <td>20</td> <td>15</td> </tr> <tr> <td>Rescinded</td> <td>2</td> <td>1</td> <td>5</td> <td>5</td> <td>1</td> </tr> </tbody> </table> <p>NOTE: ** There were 146 detentions by the Port State of which 1 was successfully appealed / rescinded by the Port State.</p>		2009	2010	2011	2012	2013		128	145**	154	157	164	Appealed	2	1	10	20	15	Rescinded	2	1	5	5	1	<p>Yes</p> <p>(See Table 2)</p>
	2009	2010	2011	2012	2013																				
	128	145**	154	157	164																				
Appealed	2	1	10	20	15																				
Rescinded	2	1	5	5	1																				

* or from the date your Government became a Party to the relevant instrument, if that is later.

<p>Recognized organizations acting on behalf of the Administration</p>	
<p>12. Which organizations has your Administration recognized for the purpose of delegation of authority under the relevant instruments you indicate under question 3?</p> <ul style="list-style-type: none"> • American Bureau of Shipping (ABS) • Bureau Veritas (BV) • China Classification Society (CCS) • Det Norske Veritas (DNV) • Germanischer Lloyd (GL) • Indian Register of Shipping (IRS) • Korean Register of Shipping (KRS) • Lloyd's Register (LR) • Nippon Kaiji Kyokai (NK) • Polski Rejestr Statkow (PRS) • Registro Italiano Navale (RINA) • Russian Maritime Register of Shipping (RS) 	<p>All IACS Full members</p>
<p>13. When your Administration delegates authority to recognized organizations, does it follow resolutions A.739(18) and A.789(19) as minimum requirements, the requirements in SOLAS 74, regulation XI/I, and the analogous requirements in MARPOL 73/78 in any delegation of authority?</p>	<p>Yes, Including A.1071(28) and MSC.349(92)</p>
<p>14. Has your Administration provided IMO with a copy of the formal agreement or equivalent legal arrangements with the recognized organizations listed in question 12?</p>	<p>Yes</p>
<p>15. Indicate which survey and/or certification functions your Administration has delegated to the recognized organizations referred to in question 12.</p> <p>The recognized organizations (ROs) listed under question 12 have been delegated the authority to carryout survey and/or certification functions for all of the relevant instruments listed under question 3. The ROs have not been delegated the authority to grant exemptions, extensions, dispensations, equivalencies, or waivers of any kind. Such action requires review and authorization from the Office of the Deputy Commissioner of Maritime Affairs on a case-by-case basis.</p>	<p>ALL</p>
<p>16. Indicate, for the instruments you listed under question 3, which survey and/or certification functions are carried out by your Administration.</p> <p>The Administration carries out audit and certification functions under the ISM Code and ISPS Code. The Administration also conducts an annual safety inspection of all registered ships and approves, SOPEP/SMPEP, VOC, STS Passenger Ship Emergency Plans, SAR Plan review and issues Minimum Safe Manning certificates and all Exemptions. The Administration also reviews and approves all Ship Security Plans.</p>	<p>See Comment in box 16.</p>
<p>17. Does your Administration carry out the verification and monitoring functions specified in resolution A.739(18)?</p>	<p>Yes, including MSC.349(92)</p>

18. How does your Administration carry out the verification and monitoring functions specified in resolution A.739(18)?

- **Each ship is inspected annually by qualified flag State inspectors and Auditors.**
- **Annual policy meeting with representatives of each class society.**
- **Review of survey reports and audit reports of all vessels involved in detentions or serious casualties and upon registration or re-registration into the flag.**
- **Review of survey summary data.**
- **Periodic Quality Audits are conducted at RO Offices.**
- **MSC.349(92) is also taken into account.**

19. How often does your Administration verify and monitor the work of recognized organizations acting on its behalf?

- **Quality Audits conducted at minimum every 3 years.**
- **Continuous review and oversight of survey data issued, ship-by-ship.**
- **Each ship inspected and analysis of ship inspections.**
- **Additional inspections of detained ships, and ships with reported Safety Management System failures.**

20. How does your Administration take specific responsibility for international certificates issued on its behalf by dependent territories/second registers?

N/A

Casualty and incident investigation

21. Does your Administration have the means (financial and administrative) to ensure that thorough and prompt casualty and incident investigations into all cases of serious and very serious casualties, as defined in paragraph 4.2 and 4.3 of the Annex to resolution A.849(20) are carried out?

Yes
It is noted that MSC.255(84) has superseded A.849(20)

22. For each of the previous 5 years*, for ships flying the flag of your State:

.1 How many serious and very serious casualties and incidents were investigated?

	2009	2010	2011	2012	2013
Serious	26	17	27	36	36
Very Serious	3	5	4	6	5

.2 How many such casualties and incidents were reported to IMO?

	2009	2010	2011	2012	2013
IMO	29	22	31	40	41

NOTE: *Increase in the number of reported serious casualties for the year indicated is due to more aggressive collection of casualty reports and follow-up

* or from the date your Government became a Party to the relevant instrument, if that is later.

by the Flag Administration.

23. Can your Administration provide to IMO, on request, evidence which shows that casualties and incidents on ships flying the flag of your State have been investigated? **Yes**

24. For each of the previous 5 years*, has your Administration provided IMO with the mandatory annual reporting required by Article 11.1(f) of MARPOL 73/78? **Yes**

Liberia submitted completed reports for 2009, 2010, 2011, 2012 and 2013 to the IMO.

24. How many allegations of violations, according to article 4 of MARPOL 73/78, have been made against ships flying the flag of your State in each of the previous 5 years*?

.1 How many investigations or legal proceedings has your Administration carried out in the previous 5 years* in accordance with articles 4 and 6 of MARPOL?

	2009	2010	2011	2012	2013
Alleged Violations	2	0	*6	13	19
Investigations	2	0	6	13	14

* *There were other incidents of alleged violations. However, upon initial investigation there was not sufficient evidence satisfactory to the Administration that would have led to a full investigation, which in turn would have resulted in formal narrative determination. Internal file report is maintained for reference. Out of the alleged violations, only one was reported by the Port State.*

.2 In how many cases did your Administration report back to the reporting State or to IMO in each of the previous 5 years?

	2009	2010	2011	2012	2013
Reporting State	0	0	*0	**1	1
IMO	2	0	5	**9	***3
Total:	2	0	5	10	4

* *Due to exchanges between the Port State and the Liberian Administration, the usual Flag State report of Determination to the Reporting State was unnecessary.*

** *Due to related Port State action and exchanges between the Port State and the Liberian Administration for 8 Incidents, the usual Flag State report of Determination to the Reporting State was unnecessary. Four (4) incidents reported by ship Operators and involved Flag State detention with corrective action are not included.*

*** *Due to insufficient evidence to find the vessel liable for the MARPOL violation, the administration deemed it irrelevant to report to the IMO.*

* Or from the date your Government became a Party to the relevant instrument, if that is later.

EXTERNAL CRITERIA

26. For each of the previous 5 years*, how many ships flying the flag of your State:

- .1 have been involved in serious or very serious casualties?
- .2 have become total losses or constructive total losses?
- .3 have caused severe pollution**?
- .4 What casualty rate per 1000 ships does this represent?
- .5 What is the total tonnage involved as a percentage of the total fleet?

		2009	2010	2011	2012	2013
1a	Serious	26	17	26	34	36
.1b	Very Serious	3	5	5	6	5
.2a	Total Loss	0	0	0	1	2
.2b	Constructive Total Loss	0	0	2	1	0
.3	Severe Pollution**	2	0	0	0	0
.4	Casualty Rate per 1,000 ships	9.23	6.34	8.26	10.30	10.49
.5	Tonnage % of Total Fleet	1.16	0.79	1.22	0.89	0.97

* Or from the date your Government became a Party to the relevant instrument, if that is later.

** "Severe pollution" is a case of pollution which, as evaluated by the Coastal State(s) affected or the Flag State, as appropriate, produces a major deleterious effect upon the environment, or which would have produced such an effect without preventive action.

27. In each of the previous 5 years*, how many lives have been lost:

.1 in casualties involving ships flying the flag of your State?

		2009	2010	2011	2012	2013
.1	Casualties	12	*1	**0	3	2

* One crew member (plus six non-crew from fishing vessel involved in a collision incident not included in the count = 7).

** One non-crew from fishing vessel involved in a collision incident not included in the count.

.2 due to occupational accidents (i.e. other than from casualties to ships) on ships flying the flag of your State?

NB - Includes: falls, boarding or disembarking; accidents on deck and in machinery spaces; deaths in enclosed spaces; but does not include accidents ashore; homicide; suicide; or deaths from disease or natural causes.

		2009	2010	2011	2012	2013
.2	Occupational Accidents	18***	****15	*****19	*25	17

* Includes three (3) non-crew members and 22 (twenty-two) crew members.

*** Includes nine (9) non-crew members and nine (9) crew members.

**** Includes four (4) non-crew members and eleven (11) crew members.

***** Includes five (5) non-crew members and fourteen (14) crew members.

28.1 For each of the previous 5 years*, how many ships flying the flag of your State were detained, within the scope of SOLAS 74, MARPOL 73/78, LL 66 or COLREG 72, by port States?

(Answer same as question 11.) We investigate reported vessel detentions at 100%

	2009	2010	2011	2012	2013
Total Ships Inspected	4,971	6,651	6,649	5615	7329
Total Ships Detained	128	**145	176	157	164

NOTE: ** There were 147 detentions by the Port State of which 2 were successfully appealed/rescinded by the Port State.

28.2 What detention rate per 1000 ship inspections does this represent? (See also question 11).

	2009	2010	2011	2012	2013
Rate Per 1000 inspected *	25.7	21.8	26.5	27.8	22.37

* or from the date your Government became a Party to the relevant instrument, if that is later.